

- This form is offered to the existing account holders only. Please read the important notes before completing this form.
- Upon receiving the client's order by fax or mail-in, Fidelity will conduct a suitability assessment comparing the product risk rating against the client's risk tolerance level (which is derived based on the information provided in the latest RAQ). If there is a mis-match, we will try to contact the client by phone. Fidelity will **not** process the order when a mismatch of risks occurs. If you have any questions concerning this process, please contact us.
- FIL Investment Management (Hong Kong) Limited or its affiliate may receive remuneration of up to 50% of the total of management fees, distribution fees and service fees in distributing Third Party Funds.
- Please return this form to FIL Investment Management (Hong Kong) Limited, Level 21, Two Pacific Place, 88 Queensway, Admiralty, Hong Kong or fax to (852) 2629 6088.
- **Please ✓ the appropriate box.**
- 本表格只提供予現有客戶使用。請於填寫本表格前，小心細閱本表格之重要事項。
- 經由傳真或郵寄方式收到的客戶指示，富達會透過比較產品風險評級，與客戶的個人風險承受程度（根據最近的風險評估問卷所提供的資料）來進行適合性評估。如結果是不相符的話，我們將嘗試以電話與客戶聯絡。富達將不會執行產品風險與客戶的個人風險承受程度不相符的交易指示。如果您對這個程序有任何疑問，請與我們聯絡。
- 富達基金（香港）有限公司或其聯營公司在分銷第三者基金時可獲得的報酬，最高為管理費、分銷費及服務費總和的50%。
- 請將本表格寄回富達基金（香港）有限公司，香港金鐘道88號太古廣場二座21樓，或傳真至(852) 2629 6088。
- 請在適當的空格填上 ✓ 號。

### 1. Account Information 帳戶資料

<b>Account Holder's Name(s)</b> 帳戶持有人姓名 (Name of all joint holders 所有聯名持有人姓名)	
<b>Account No.</b> 帳戶號碼	
<b>Contact Tel. No.</b> 聯絡電話	( ) Country Code 國家編號

### 2. New Addition of Fund(s) (You are required to complete section 4) 新增基金（您必須完成第四部份）

With effect from ..... (M)/ ..... (Y), please **add** the following fund(s) to my Monthly Investment Plan:

由 ..... (月) / ..... (年) 起生效，請**新增**以下基金於本人的每月投資計劃：

Name of Provider & Fund & Share/Unit Class <sup>+</sup> 公司及基金名稱及股份/單位類別 <sup>+</sup>	Monthly Investment Amount <sup>#</sup> 每月投資金額 <sup>#</sup>
1.	HKD 港元
2.	HKD 港元
3.	HKD 港元
4.	HKD 港元

### 3. Change of Monthly Investment Amount\* (You are required to complete section 4) 更改每月投資額\*（您必須完成第四部份）

With effect from ..... (M)/ ..... (Y), please **change** the monthly investment amount as follows:

由 ..... (月) / ..... (年) 起生效，請**更改**每月投資額如下：

Name of Provider & Fund & Share/Unit Class <sup>+</sup> 公司及基金名稱及股份/單位類別 <sup>+</sup>	Current Amount 現時投資額	New Amount <sup>#</sup> 新的投資額 <sup>#</sup>
1.	HKD 港元	HKD 港元
2.	HKD 港元	HKD 港元
3.	HKD 港元	HKD 港元
4.	HKD 港元	HKD 港元

\* Please note that the fund range shall remain unchanged, only investment amount will be updated. 請注意此更新指示只限於投資額，所投資的基金項目將不受影響。

<sup>+</sup> This plan is only available to non RMB/CNY denominated funds (except for FWWF - RMB Bond Fund where all share classes are eligible). 此計劃只適用於非人民幣計價的基金類別（富達環球基金 - 人民幣債券基金除外，其所有股份類別均可適用）。

<sup>#</sup> Minimum monthly investment amount is HK\$1,000 per fund 每項基金的每月投資額最低為1,000港元

#### 4. Concentration and Liquidity Risks Check 集中風險及流動性風險檢查

##### Concentration Risk

In general:

- Concentration risk can be reduced or minimised (but cannot be completely eliminated) through diversification of investment into different investment funds or into different asset classes.
- Investors should not invest more than 50% of their liquid assets (excluding self-use properties, emergency cash reserves, and other financial commitments) into a single fund.
- Special reminder note to the Monthly Investment Plan (the "MIP") investors: given the nature of the MIP, please note the gradual (and potential excessive) investment accumulation over time in any particular fund.

**PLEASE ONLY TICK THE BELOW IF THE FOLLOWING IS APPLICABLE TO YOU.**

- I/We declare that each fund subscribed (including current holdings and/or future investment through the MIP in the same fund at Fidelity) is equal to or less than 50% of my/our **liquid assets**.

##### Liquidity Risk

In general:

- The product(s) to be subscribed is/are an investment fund(s) with no guarantee that it will return the original amount invested.
- Please take into account all your actual circumstances including your liquidity/cash flow needs at the time of making your investment decisions.
- The longer the investment horizon, the more you can ride out the ups and downs of the market.

**PLEASE ONLY TICK THE BELOW IF THE FOLLOWING IS APPLICABLE TO YOU.**

- I/We confirm that I/we have no plan to use the money invested in this investment within the next 12 months.

##### Important Remarks

We wish to draw your attention to give due consideration to your risk exposure to other existing investments held outside Fidelity and your concentration risk of investments, including the ability to bear higher potential losses resulting from higher concentration risks when making your investment decisions. Another important factor you shall take into consideration when making your investment decisions is your cash flow/liquidity circumstances which may affect your ability to take on the volatility risk (including risk of capital loss) which may arise out of investments in mutual fund products. Fidelity reserves the final decision to not process any risk mismatch orders having regard to the information provided by the client.

##### 集中風險

一般來說：

- 可透過分散投資於不同的投資基金或不同的資產類別，把集中風險減少或降至最低（但並非完全能消除）。
- 投資者不應投資超過其流動資產的50%（不包括自住物業、緊急現金儲備和其他財務承諾）於單一基金。
- 每月投資計劃的投資者須特別注意：鑑於此計劃的投資性質，請投資者留意隨著時間而逐步形成對任何特定基金累積（及潛在的）過度投資效應。

請僅在以下條件適用於您的情況下，方在格內填上（✓）號。

- 本人/吾等聲明所認購的（單一）基金（包括目前在富達持有及/或未來經每月投資計劃投資的相同基金）相等或少於本人/吾等**流動資產**的50%。

##### 流動性風險

一般來說：

- 被認購的產品屬於投資基金項目，因此不保證能取回原本的投資額。
- 在作出您的投資決定時，請考慮您當時的所有實際情況，包括您的流動性/現金流需求。
- 投資年期愈長，愈能抵禦市場升跌。

請僅在以下條件適用於您的情況下，方在格內填上（✓）號。

- 本人/吾等確定本人/吾等在未來12個月內未有計劃使用這筆投資。

##### 重要事項

我們希望您注意並充分考慮您現有在富達以外的投資所面對的風險，與涉及您投資的集中風險，包括當您作出投資決定時，您對於由較高的集中性風險而引致令您蒙受更重大的潛在損失的承受能力。另一個當您作出投資決定時應考慮的重要因素是您的現金流/流動性狀況，由於投資於互惠基金而可能出現的波幅風險（包括資本虧損風險），這或會影響您的承受能力。富達保留最終決定權，就考慮客戶所提供的資料，不會處理任何產品風險與客戶的個人風險承受程度不相符的交易指示。

#### 5. Deletion of Existing Fund(s) 刪除現有基金

With effect from \_\_\_\_\_ (M)/ \_\_\_\_\_ (Y), please **delete** the following fund(s) from my existing Monthly Investment Plan:

由 \_\_\_\_\_ (月) / \_\_\_\_\_ (年) 起生效，請從本人的每月投資計劃中**刪除**以下基金：

Name of Provider & Fund & Share/Unit Class 公司及基金名稱及股份/單位類別	Monthly Investment Amount* 每月投資金額*
1.	HKD 港元
2.	HKD 港元
3.	HKD 港元

\* Minimum monthly investment amount is HK\$1,000 per fund 每項基金的每月投資額最低為1,000港元

#### 6. Termination of Monthly Investment Plan 終止每月投資計劃

With effect from \_\_\_\_\_ (M)/ \_\_\_\_\_ (Y), please **terminate** my existing Monthly Investment Plan and stop all monthly investment.

由 \_\_\_\_\_ (月) / \_\_\_\_\_ (年) 起生效，請**終止**我現有的每月投資計劃及所有每月供款。

## 7. Declaration and Signature(s) 聲明及簽署

I/We hereby acknowledge that I/we have received, read and understood the Terms and Conditions set out in the Account Application Form and the latest Offering Documents for the funds distributed by Fidelity from time to time (where applicable) ("Relevant Offering Documents") and Disclosure of Sales Related Information, and undertake to be bound by the Terms and Conditions contained therein and subject to the provisions to the Relevant Offering Documents as may be amended from time to time. I/We have also been invited to ask questions and take independent advice in respect of any questions I/we may have.

Although Fidelity may provide investment recommendation(s) or make solicitation to myself/us that are purely incidental to the distribution of funds from time to time, I/we acknowledge that I/we will make my/our own judgment with respect to all my/our dealing transactions in connection with any/all funds distributed by Fidelity after considering the nature, features and risks associated with products and services provided by Fidelity and having consulted our own professional advisors as I/we consider necessary. I/We further acknowledge that the investment decision(s) detailed in this form and in any subsequent orders for the funds distributed by Fidelity from time to time I/we place with Fidelity, are my/our own judgment. Notwithstanding the aforementioned, if Fidelity solicits the sale of or recommends any financial product to me/us, the financial product must be reasonably suitable for me/us having regard to my/our financial situation, investment experience and investment objectives. No other provision of this form or any other document Fidelity may ask me/us to sign and no statement Fidelity may ask me/us to make derogates from this clause. For the purpose of this clause, "financial product" means any securities or futures contracts as defined under the Securities and Futures Ordinance.

本人/吾等證實本人/吾等已接納、閱讀並了解解載於帳戶申請表格之條款與限制及不時經富達分銷的基金之最新基金說明書（如適用）（「有關基金說明書」）及與銷售相關的資料披露，並承諾接受該等文件中所載之條款與限制及受有關基金說明書日後不時作出的修訂或修改所約束。本人/吾等亦已獲邀請提出問題，以及就本人/吾等可能存有的任何問題尋求獨立意見。

雖然富達可能會在純屬附帶於其基金分銷的情況下，不時向本人/吾等提供投資建議或作出招攬行為，但本人/吾等確認，就與富達分銷的任何/所有基金有關的所有交易而言，本人/吾等經考慮富達所提供的產品及服務的性質、特點和相關風險，並已於本人/吾等認為有需要的情況下諮詢個人專業顧問的意見後，將會自行作出判斷。本人/吾等進一步確認詳列於本表格及其後由本人/吾等就不時經富達分銷的基金而向富達作出的任何指示內的投資決定，均由本人/吾等自行判斷作出。儘管有上述規定，若富達向本人/吾等招攬銷售或建議任何金融產品，該金融產品必須是富達經考慮本人/吾等的財政狀況、投資經驗及投資目標後認為合理地適合本人/吾等的產品。申請表格的其他條文、本條款或富達可能要求本人/吾等簽署的任何其他文件及富達可能要求本人/吾等作出的任何聲明概不會減損此條款的效力。就此條款而言，「金融產品」指《證券及期貨條例》所界定的任何證券或期貨合約。

For a joint holders account without a Joint Holders Special Authorisation, all account holders should co-sign this form.

如該聯名帳戶未有建立「聯名持有人特別授權」，所有帳戶持有人必須簽署此表格。

Date 日期 (D日/M月/Y年) : ..... / ..... / .....

Signature 簽署 :

**X** ..... **X** ..... **X** ..... **X** .....

(1st Holder 第一持有人)

(2nd Holder 第二持有人)

(3rd Holder 第三持有人)

(4th Holder 第四持有人)

CH/MIP/201704

### Important Note 重要事項

1. If the total monthly investment amount exceeds the limit of the current monthly payment, please submit a new Direct Debit Authorisation Form (Form 1-C).  
若每月總投資額超過現時授權每月之限額，請重新填寫一份直接付款授權書（表格1-C）。
2. Your bank account will be debited on the 8th calendar day (or the next business day if it is a bank holiday) of each calendar month, your investment will normally be invested on the same day.  
您的款項會在每曆月的第八個曆日（如屬銀行假期則順延至下一個營業日）從您的銀行帳戶扣除，並通常會在同一日進行投資。
3. The cut-off time for change instructions is normally at 5:00pm (Hong Kong time), Monday to Friday except for bank holidays. Any change of instructions will take at least 4 business days to come into effect after Fidelity receives the instructions.  
更改指示的截止時間是星期一至五香港時間下午五時正（銀行假期除外）。任何更改指示將於富達接獲更改指示通知最少4個營業日後生效。