Change of Particulars Form
更改資料表格

- Please complete in BLOCK LETTERS and ✓ the appropriate box. 請以正楷填寫，並在適當的空格填上 ✓ 號。
- Please return the completed form to FIL Investment Management (Hong Kong) Limited, Level 21, Two Pacific Place, 88 Queensway, Admiralty, Hong Kong or via fax (852) 2629 6088. 請將填妥表格寄回富達基金（香港）有限公司，香港金鐘道88號太古廣場二座21樓，或傳真至 (852) 2629 6088。

1. Client Information 客戶資料

With effect from .... (D) / .... (M)/ .... (Y), please apply the following instruction(s) to my:

由 .... (日) / .... (月) / .... (年) 起，請按以下指示更改本人的：

☐ **A. Individual & Account Profiles 個人及帳戶檔案**

I authorise Fidelity to update all the change requests for **all** my Individual and Account Profiles (including joint account with Joint Holders Special Authorisation, if any) under my name.
本人授權富達按指示更新本人名下的**所有**個人及帳戶檔案（包括已建立「聯名持有人特別授權」的聯名帳戶，如有。）

Name
姓名：............

ID Card/Passport No.
身份證/護照號碼：............

☐ Please **exclude** my following account(s) for this update (if applicable) 以下本人之帳戶**不包括**在此項更新（如適用）：

Account No.(s) 帳戶號碼：............

Note 1: There will be important correspondences (such as the personal PIN, customer & regulatory notification as required from time to time (e.g. personal information collection statement)) mailed to account holders individually by using the correspondence address under your individual profile. You are strongly encouraged to keep your profile record updated and each joint account holder needs to fill in a separate form for an Individual Profile change.
註 1：重要信件(如個人密碼及不時因應需要而發出的客戶及監管規定的通告(例如：收集個人資料聲明))將會個別地郵寄至各帳戶持有人的個人檔案內的通訊地址。因此，我們鼓勵您能維持您的個人資料定期更新，並每位聯名帳戶持有人需個別填寫一份表格以便更新其個人檔案。

☐ **B. Account Profile 帳戶檔案**

I authorise Fidelity to update all the change requests for the account(s) listed in this section **only**.
本人授權富達按指示**只**更新下列的帳戶。

Account Holder's name(s)
帳戶持有人姓名：............

Account No(s).
帳戶號碼：............

Note 2: If you choose this option, your profile record (including correspondence address under your individual profile) will not be updated and you may not receive the important correspondences as described under Note 1. However, if you have listed all of your accounts, this will result in an update of your Individual Profile.
註 2：如您選擇此項，您的檔案記錄(包括您個人檔案內的通訊地址)將不會被更新，並且您可能不會收到在註 所列載之重要信件。然而，倘您已列出所有您的帳戶資料，這代表您的個人檔案將會被一併更新。

2. Change of Contact Details 更改聯絡資料

If the new address involves a change of country, please fill in section 4 (except for Corporate account).
如新地址搬遷至另外一個國家，請填寫第4部（公司客戶除外）。

☐ **Residential Address 住宅地址**

....

Permanent Address 永久地址 (if different from Residential Address 如與住宅地址不同)

....

☐ **Correspondence Address 通訊地址**

☐ Same as Residential Address above 與上列住宅地址相同

☐ Same as Permanent Address above 與上列永久地址相同

☐ Others 其他 ....

☐ **Other Contact Details 其他聯絡資料**

Contact Tel. No. 聯絡電話：( ) Country Code 國家編碼 ....

Mobile No. 手提電話：( ) Country Code 國家編碼 ....

Email Address 電郵地址：............

Fax No. 傳真：( ) Country Code 國家編碼 ....

Note 3: Please provide an original or a certified copy of the updated residential and permanent (if different from residential address) address proof (utility bills or bank statement issued within the latest 3 months). Certified copy# of your Identity Card/valid passport/travel document of all account holders may also be required. Account that is registered with an overseas address other than Hong Kong may be subject to certain restrictions.

Account related correspondence (e.g. contract notes and statements) will be sent to the correspondence address of an individual account or the first holder of a joint holders account under Fidelity's record or as given thereafter. In case of death of the first holder of a joint holders account, any relevant account related correspondence may be sent to the second holder and so on. All communication so sent, whether by mail, fax, messenger or otherwise, shall be deemed given to you personally whether actually received or not.

註 3：請隨表格附上住宅地址及永久地址(若與住宅地址不同)證明(最近三個月內發出的銀行月結單或公共事業繳費單)之正本或核證副本，並可能需要提供所有帳戶持有人的身份證/有效護照/旅遊證件之核證副本#。若帳戶以海外地址登記，將須符合若干限制。

有關帳戶的通訊(例如：成交單據及月結單)將會寄往富達記錄內或其後所提供的帳戶持有人或聯名帳戶的第一持有人之通訊地址。若聯名帳戶的第一持有人去世，任何有關帳戶的通訊將會寄往富達檔案上記錄的第二持有人，如此類推。所有通訊不論以郵遞、傳真、派遞或其他方式送出，不論您是否確實收到，即當作為已給予您本人。

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3. Change of Identity 更改個人資料

☐ **Name 姓名**

From 由 .... **To 改為** ....

☐ **Nationality 國籍**

From 由 .... **To 改為** ....

Note 4: Please provide a certified copy# of the relevant Identity Card or valid Passport/travel document and the legal documents evidencing the name/nationality changed. A certified copy of the relevant address proof may be required.

FIL Investment Management (Hong Kong) Limited ("Fidelity") shall not be liable for any loss or damage whatsoever for any transaction conducted or failure to transact in respect of any of the accounts listed above as a result of the change of my name as per these instructions. In consideration of doing so, I/we undertake to indemnify and keep Fidelity indemnified against all actions, proceedings, claims, losses, damages, costs and expenses which may be brought against Fidelity or suffered or incurred by Fidelity arising directly or indirectly in consequence of Fidelity accepting and/or relying and/or failing to act on instructions given or purported to be given by or on behalf of me/us in consequence of complying with this request.

註 4：請附上有關身份證或有效護照/旅遊證件以及證明姓名/國籍變更的法律文件之核證副本#，並可能需要提供有關地址證明之核證副本。

富達基金（香港）有限公司（「富達」）對因轉名而導致上述帳戶進行或不能進行任何交易所招致的任何損失或損害賠償，概不負責。就以上指示，我/吾等保證就富達因遵照此指示對我/吾等或看來是由我/吾等發出的指示接納及/或依賴及/或不採取行動而可能直接或間接蒙受或招致的任何訴訟、程序、申訴、損失、損害賠償、費用或開支，對富達作出全數彌償。

4. Update of Tax Status 稅務狀況更新

This section is applicable to an account holder who has updated a new address (in section 2) that involves a change of country. If this address change request is applied to the account profile, all the account holders are required to fill in this section.

此部份只適用於該帳戶持有人並其搬遷的新地址（第2部）屬另外一個國家。若該地址更新指示應用於其帳戶檔案，則該帳戶的所有帳戶持有人均須填妥此部份。

- Tax regulations require Fidelity, as a financial services company, to collect information about each investor's tax residency. In certain circumstances(including if Fidelity does not receive a valid self-certification from you), Fidelity may be obliged to provide information, where applicable, to the taxauthorities concerning your tax residency.
- The term 'tax regulations' refers to regulations created to enable automatic exchange of information, including but not limited to the U.S. Foreign Account Tax Compliance Act ("FATCA") and the OECD Common Reporting Standard ("CRS") for Automatic Exchange of Financial Account Information.
- If you have any questions on your tax status, please consult your tax adviser or domestic tax authority. You are required to notify us promptly and provide us with an updated tax status should there be any changes to your tax details.
- 作為一家金融服務公司，稅務規例要求富達收集每位投資者的稅務居民身份資料。在某些情況下（包括富達未能向您取得有效的自我聲明書），富達或須向稅務機關提供您的稅務居民身份資料 （如適用） 。
- 「稅務規例」是指為進行自動交換資料而訂立的規例，包括但不限於美國《海外帳戶稅收合規法案》（「FATCA」）和《經濟合作及發展組織》的自動交換金融帳戶資料共同匯報標準（「CRS」）。
- 若對於您的稅務狀況有任何疑問，請聯絡您的稅務顧問或當地稅務機關。若您的稅務狀況於日後出現任何變動，請立刻通知我們，並提供您的最新稅務狀況。

Please indicate ALL countries in which you are a resident for tax purposes and provide the associated Tax Identification Number ("TIN") . If you have more than one tax residencies, please complete the Tax Status Self-certification Form and attach to this form.

請列出您所有稅務居民身份及其所屬國家，並提供相關的稅務識別號碼。如果您有一個以上的稅務居民身份，請填寫稅務狀況自我聲明書並一併交回。

Tax Residency 1 稅務居民身份 1	1st holder 第一持有人	2nd holder 第二持有人	3rd holder 第三持有人	4th holder 第四持有人
Country 國家				
TIN 稅務識別號碼				
If a TIN is unavailable, please ✓ the appropriate reason* 若無法提供稅務識別號碼，請在適用的原因填上 ✓ 號 *	☐ A ☐ B ☐ C	☐ A ☐ B ☐ C	☐ A ☐ B ☐ C	☐ A ☐ B ☐ C

* **Reason A:** The relevant tax jurisdiction does not issue TIN's.
Reason B: The account holder has not been issued with a TIN.
Reason C: The domestic law of the tax jurisdiction does not allow the disclosure of the TIN to third parties.

* **原因 A：**相關的稅務司法管轄區並無發出稅務識別號碼。
原因 B：帳戶持有人並無獲發稅務識別號碼。
原因 C：稅務司法管轄區的當地法律並不容許透露稅務識別號碼予第三者。

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5. Change of Reporting Currency 更改報告貨幣

☐ **Reporting Currency 報告貨幣** *(applicable to Account Profile only 只適用於帳戶檔案)*

From 由 .... **To 改為** ....

The dealing currency of your account will remain unchanged. The reporting currency will be used in the Statement of Account only.
您的帳戶之交易貨幣將維持不變，報告貨幣只會在月結單內使用。

6. Change of Opt-in/Opt-out Request 更改接收/拒絕接收通告

Please ✓ ONE of the followings. 請選其中一項，並填上 ✓ 號。

☐ I/we agree my/our personal information to be used and disclosed by Fidelity for Personal Investing related **direct marketing purposes**.
本人/吾等同意富達使用及披露本人/吾等的個人資料用作與個人投資有關之**直銷業務推廣用途**。

☐ I/we do not wish my/our personal information to be used and disclosed by Fidelity for Personal Investing related **direct marketing purposes**.
本人/吾等不願意富達使用及披露本人/吾等的個人資料用作與個人投資有關之**直銷業務推廣用途**。

7. Declarations and Signature(s) 聲明及簽署

- I/We declare that the information provided in this form is accurate and complete, to the best of my/our knowledge and belief.
- I/We understand and agree that my/our account information (including but not limited to, the personal data as referred to in the Client Agreement)may be provided to relevant tax authorities (including the US tax authorities) should I/we become, or be considered to become, at any point in the future, a tax resident in another jurisdiction (including a "US Person" as defined in section 1.1471-1(b) of the US tax code) pursuant to any applicable tax regulations.
- I/we will notify Fidelity in the event of any material change to the information provided by me/us in this form.
- 本人/吾等謹此聲明，就本人/吾等所深知和確信，本表格所填報的資料均為準確及完整。
- 本人/吾等理解及同意，若本人/吾等成為或於日後被視為成為其他執行適用稅務規例的司法管轄區的稅務居民（包括美國國稅法規第1.1471-1(b)條定義的「美國人士」），本人/吾等的帳戶資料 （包括但不限於客戶協議所指的個人資料） 或會交予相關的稅務機關 （包括美國的稅務機關）。
- 本人/吾等同意，若本表格內所提供之資料有任何重大變動，本人/吾等將知會富達。

(If the joint account was not opened with a Joint Holders Special Authorisation, all account holders should co-sign this form, or if the tax residency details have been updated in Section 4, the relevant account holder(s) also is(are) required to sign this form.)
(若屬聯名帳戶並且未有通過特別授權安排，所有的聯名持有人均須簽署此表格或若在第4部有進行更新稅務管轄區的資料，有關帳戶持有人也須簽署此表格。)

Date 日期 (D日/M月/Y年) : .... / .... / ....

X ....
(1st Holder 第一持有人)

X ....
(2nd Holder 第二持有人)

X ....
(3rd Holder 第三持有人)

X ....
(4th Holder 第四持有人)

CH/PARTICULARS/201704

[#] Certified copy means a copy of the original that has been sighted by an independent certifier (the "certifier"). The certifier can be a director/manager/officer of a bank incorporated in, or operating from a jurisdiction that is a FATF member or an equivalent jurisdiction, a certified public accountant, a lawyer or a notary public in a jurisdiction that is a FATF member or an equivalent jurisdiction. For Taiwan clients, the certifier can be a notary public in Taiwan, a manager of a regulated financial institution in Taiwan. The name, capacity or position, contact telephone no., address of the certifier should be clearly shown for confirmation purposes, with the wording "Original Seen" and the date of certification. The certified documents submitted by post are not returnable.

核證副本是指文件的正本須經由一名獨立核證人（「核證人」）查看。核證人包括在打擊清洗黑錢財務行動特別組織(FATF)成員國或同等司法管轄區註冊成立或營運的銀行的董事/經理/主任、FATF成員國或同等司法管轄區的執業會計師、律師或公證人。台灣客戶的核證人包括台灣公證人或在台灣受監管的金融機構之經理。副本須列明核證人的姓名、身份或職位，聯絡電話號碼及地址，以供確認用途，以及附有「Original Seen」（已查看正本） 的字句及列明核實日期。經郵寄遞交之核證副本恕不退還。

Fidelity is committed to maintaining your personal data in accordance with the requirements of the Personal Data (Privacy) Ordinance and will take all reasonable steps to ensure that your personal data is kept secure against unauthorised access, loss, disclosure and destruction. For full details of our policy on handling of personal data, please refer to the Personal Information Collection Statement under the Terms and Conditions of Fund Account.

富達將按照個人資料（私隱）條例的規定，竭力保存您的個人資料，並將採取一切合理步驟，確保您的個人資料妥善保存，不被非法使用、遺失、披露及損毀。有關詳情，請參考列載於基金帳戶條款與限制內的收集個人資料聲明。

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