

- If you are having an active Fidelity Mutual Funds Account, you can fill up this form to set up your Monthly Investment Plan. Please read the Terms and Conditions set out in the Account Application Form & Client Agreement on the Fidelity Hong Kong website in particular the Risk Disclosure Statement contained therein, carefully before completing this form.
- **Please ✓ the appropriate box.** Please return the completed form to FIL Investment Management (Hong Kong) Limited, Level 21, Two Pacific Place, 88 Queensway, Admiralty, Hong Kong or via fax (852) 2629 6088.
- 如您有一個有效的富達互惠基金帳戶，您可填寫本表格設立您的每月定期投資計劃。請於填寫本表格前，小心細閱列載於富達香港網站上的帳戶申請表格及客戶協議書內之條款與限制，包括風險披露聲明。
- 請在適當的空格填上 ✓ 號。請將填妥表格寄回富達基金（香港）有限公司，香港金鐘道88號太古廣場二座21樓，或傳真至(852)2629 6088。

### 1. Account Information 帳戶資料

<b>Account Holder's Name(s) 帳戶持有人姓名</b> (Name of all joint holders 所有聯名持有人姓名)	
<b>Account No. 帳戶號碼</b>	
<b>Contact Tel. No. 聯絡電話</b>	(                    ) Country Code 國家編號

### 2. Monthly Investment Plan 每月投資計劃

- FIL Investment Management (Hong Kong) Limited or its affiliates will receive monetary benefit from third party product issuers for distributing third party funds. Please refer to the latest Disclosure of Transaction Related Information for details.
- Investment involves risk. Upon considering fixed income investment funds (including the high yield bond funds), the risk will involve credit risk, liquidity risk, interest rate risk or vulnerable to economic cycles. High yield bond funds are more volatile and subject to greater level of risks. Dividend from some bond funds may be paid out of capital and dividend rate is not guaranteed. Please refer to the relevant offering documents for further details including the risk factors.
- Upon receiving the client's order by fax or mail-in, Fidelity will conduct a suitability assessment comparing the product risk rating against the client's risk tolerance level (which is derived based on the information provided in the latest RAQ). If there is a mis-match, we will try to contact the client by phone. Fidelity will not process the order when a mismatch of risks occurs. If you have any questions concerning this process, please contact us.
- 富達基金（香港）有限公司或其聯營公司在分銷第三者基金時可獲得報酬。詳情可參閱最新的「與交易相關的資料披露」文件。
- 投資涉及風險。投資於定息基金的價格（包括高息債券基金）將取決於市場利率、發行機構的信貸質素、流動性及經濟周期的轉變等因素。高息債券基金的波幅及風險較高。若干債券基金的股息可能從基金資本支出及股息率不獲保證。詳情請參閱有關基金說明書（包括風險因素）。
- 經由傳真或郵寄方式收到的客戶指示，富達會透過比較產品風險評級，與客戶的個人風險承受程度（根據最近的風險評估問卷所提供的資料）來進行適合性評估。如結果是不相符的話，我們將嘗試以電話與客戶聯絡。富達將不會執行產品風險與客戶的個人風險承受程度不相符的交易指示。如果您對這個程序有任何疑問，請與我們聯絡。

Name of Provider & Fund & Share/Unit Class 公司及基金名稱及股份/單位類別	Investment Amount* 投資金額*
<b>Initial Subscription 首次認購</b>	
1.	HKD 港元
2.	HKD 港元
3.	HKD 港元
<b>Monthly Investment 每月投資</b>	
Please also complete Section (4) to set up Direct Debit Authorisation* 請同時填寫第(4)部份設立直接付款授權*	
1.	HKD 港元
2.	HKD 港元
3.	HKD 港元
4.	HKD 港元

\* Minimum monthly investment amount is HK\$1,000 per fund  
 每項基金的每月投資額最低為1,000港元

\* Your bank account will be debited on the 8th calendar day (or the next business day if it is a bank holiday) of each calendar month, your investment will normally be invested on the same day.  
 您的款項會在每曆月的第八個曆日（如屬銀行假期則順延至下一個營業日）從您的銀行帳戶扣除，並通常會在同一日進行投資。

### 3. Concentration and Liquidity Risks Check 集中風險及流動性風險檢查

#### Concentration Risk

In general:

- Concentration risk can be reduced or minimised (but cannot be completely eliminated) through diversification of investment into different investment funds or into different asset classes.
- Investors should not invest more than 50% of their liquid assets (excluding self-use properties, emergency cash reserves, and other financial commitments) into a single fund.
- Special reminder note to the Monthly Investment Plan (the "MIP") investors: given the nature of the MIP, please note the gradual (and potential excessive) investment accumulation over time in any particular fund.

#### 集中風險

一般來說：

- 可透過分散投資於不同的投資基金或不同的資產類別，把集中風險減少或降至最低（但並非完全能消除）。
- 投資者不應投資超過其流動資產的50%（不包括自住物業、緊急現金儲備和其他財務承諾）於單一基金。
- 每月投資計劃的投資者須特別注意：鑑於此計劃的投資性質，請投資者留意隨著時間而逐步形成對任何特定基金累積(及潛在的)過度投資效應。

**PLEASE ONLY TICK THE BELOW IF THE FOLLOWING IS APPLICABLE TO YOU.**

- I/We declare that each fund subscribed (including current holdings and/or future investment through the MIP in the same fund at Fidelity) is equal to or less than 50% of my/our **liquid assets**.

請僅在以下條件適用於您的情況下，方在格內填上（✓）號。

- 本人/吾等聲明所認購的（單一）基金（包括目前在富達持有及/或未來經每月投資計劃投資的相同基金）相等或少於本人/吾等**流動資產**的50%。

#### Liquidity Risk

In general:

- The product(s) to be subscribed is/are an investment fund(s) with no guarantee that it will return the original amount invested.
- Please take into account all your actual circumstances including your liquidity/cash flow needs at the time of making your investment decisions.
- The longer the investment horizon, the more you can ride out the ups and downs of the market.

#### 流動性風險

一般來說：

- 被認購的產品屬於投資基金項目，因此不保證能取回原本的投資額。
- 在作出您的投資決定時，請考慮您當時的所有實際情況，包括您的流動性/現金流需求。
- 投資年期愈長，愈能抵禦市場升跌。

**PLEASE ONLY TICK THE BELOW IF THE FOLLOWING IS APPLICABLE TO YOU.**

- I/We confirm that I/we have no plan to use the money invested in this investment within the next 12 months.

請僅在以下條件適用於您的情況下，方在格內填上（✓）號。

- 本人/吾等確定本人/吾等在未來12個月內未有計劃使用這筆投資。

#### Important Remarks

We wish to draw your attention to give due consideration to your risk exposure to other existing investments held outside Fidelity and your concentration risk of investments, including the ability to bear higher potential losses resulting from higher concentration risks when making your investment decisions. Another important factor you shall take into consideration when making your investment decisions is your cash flow/liquidity circumstances which may affect your ability to take on the volatility risk (including risk of capital loss) which may arise out of investments in mutual fund products. Fidelity reserves the final decision to not process any risk mismatch orders having regard to the information provided by the client.

#### 重要事項

我們希望您注意並充分考慮您現有在富達以外的投資所面對的風險，與涉及您投資的集中風險，包括當您作出投資決定時，您對於由較高的集中性風險而引致令您蒙受更重大的潛在損失的承受能力。另一個當您作出投資決定時應考慮的重要因素是您的現金流/流動性狀況，由於投資於互惠基金而可能出現的波幅風險（包括資本虧損風險），這或會影響您的承受能力。富達保留最終決定權，就考慮客戶所提供的資料，不會處理任何產品風險與客戶的個人風險承受程度不相符的交易指示。

#### 4. Direct Debit Authorisation 直接付款授權書

Direct debit authorisation may require four to six weeks to process. Monthly Investment Plan will commence after successful set-up of this authorisation.  
設立直接付款授權需要四至六個星期。每月投資計劃將於成功設立此授權後生效。

Name of Party to be credited (the Beneficiary) 收款之一方 (受益人)  <b>FIL Investment Management (Hong Kong) Limited</b>	Account Number of the Beneficiary 收款人帳戶號碼 Bank No. 銀行號碼      Branch No. 分行號碼      Account No. 帳戶號碼 0 5 5 7 5 7 8 9 0 8 2 0 1 4
My/Our Bank Name and Branch 本人/吾等之銀行及分行名稱	My/Our Bank Account No. 本人/吾等之銀行帳戶號碼 Bank No. 銀行號碼      Branch No. 分行號碼      Account No. 帳戶號碼
My/Our Name(s) as recorded on Statement/Passbook 本人/吾等在結單/存摺上所記錄之名稱	My/Our ID/Passport No(s). as recorded on Statement/Passbook 本人/吾等在結單/存摺上所記錄之身份證/護照號碼

Limit for Monthly Payment 每月付款之限額    HKD港元    .....  
(Note: Please set the limit at the maximum amount you would expect to pay at any one time. 注意：請您預設一個每次付款最高的限額。)

- I/We hereby authorise my/our above named Bank to effect transfer from my/our account to that of the above named beneficiary in accordance with such instructions as my/our Bank may receive from the beneficiary from time to time.
- I/We agree that my/our Bank shall not be obliged to ascertain whether or not notice of any such transfer has been given to me/us.
- I/We jointly and severally accept full responsibility for any overdraft (or increase in existing overdraft) on my/our account which may arise as a result of any such transfer(s).
- I/We agree that should there be insufficient funds in my/our account(s) to meet any transfer hereby authorised, my/our Bank shall be entitled, in its discretion, not to effect such transfer in which event the Bank may make the usual charge and that it may cancel this authorisation at any time on one week's written notice.
- I/We agree that any notice of cancellation or variation of this authorisation which I/we may give to my/our Bank shall be given at least two business days prior to the date on which such cancellation/variation is to take effect. This authorisation shall have effect until further notice.
- I/We confirm that my/our signature(s) on this form is/are the same as that/those for the operation of my/our saving/current account to be debited for the transfer.
- 本人/吾等現授權本人/吾等之上述銀行，根據受益人不時給予本人/吾等銀行之指示，自本人/吾等之帳戶內轉帳予上述受益人。
- 本人/吾等同意本人/吾等之銀行毋須證實該等轉帳通知是否已交予本人/吾等。
- 如因該等轉帳而令本人/吾等之帳戶出現透支（或令現時之透支增加），本人/吾等願共同及各別承擔全部責任。
- 本人/吾等同意如本人/吾等之帳戶並無足夠款項支付該授權轉帳，銀行有權不予轉帳，且銀行可收取價常的收費並可隨時以一星期書面通知取消本授權書。
- 本人/吾等同意，本人/吾等取消或更改本授權書之任何通知，須於指示生效日最少兩個營業日之前交予本人/吾等之銀行。本授權書將繼續生效直至另行通知為止。
- 本人/吾等現確認本人/吾等在此申請表格內之簽名，與本人/吾等轉帳用之儲蓄/往來帳戶所簽名相同。

**X**

Signature(s) of Bank Account Holder(s) 銀行帳戶持有人簽署

## 5. Declaration and Signature(s) 聲明及簽署

- I/We have read and understood the information in relation to the relevant funds in the latest Offering Documents for the funds distributed by Fidelity from time to time (where applicable) ("Relevant Offering Documents"), Product Key Facts, the Terms and Conditions set out in the Application Form & Client Agreement on the Fidelity Hong Kong website (including the Risk Disclosure Statement contained therein), and the Disclosure of Transaction Related Information, and agree to be bound by the terms and conditions contained therein, and subject to the provisions of the Relevant Offering Documents as may be amended from time to time. I/We have also been invited to ask questions and take independent advice in respect of any questions I/we may have.

Although Fidelity may provide investment recommendation(s) or make solicitation to myself/us that are purely incidental to the distribution of funds from time to time, I/we acknowledge that I/we will make my/our own judgment with respect to all my/our dealing transactions in connection with any/all funds distributed by Fidelity after considering the nature, features and risks associated with products and services provided by Fidelity and having consulted our own professional advisors as I/we consider necessary. I/We further acknowledge that the investment decision(s) detailed in this Application Form and in any subsequent orders for the funds distributed by Fidelity from time to time I/we place with Fidelity, are my/our own judgment. Notwithstanding the aforementioned, if Fidelity solicits the sale of or recommends any financial product to me/us, the financial product must be reasonably suitable for me/us having regard to my/our financial situation, investment experience and investment objectives. No other provision of this form or any other document Fidelity may ask me/us to sign and no statement Fidelity may ask me/us to make derogates from this clause. For the purpose of this clause, "financial product" means any securities or futures contracts as defined under the Securities and Futures Ordinance.

- Fidelity shall provide me/us the Relevant Offering Documents. I/We hereby consent to receive an electronic copy of the latest version of the Relevant Offering Documents. If I/We do not want to receive an electronic version of the Relevant Offering Documents, I/We can request for a printed copy by calling the Fidelity Investor Hotline.
- I/we will notify Fidelity in the event of any material change to the information provided by me/us in this Application Form, and that Fidelity will notify me/us in the event of any material change to the information provided by Fidelity in this Application Form.
- I/We hereby confirm and undertake that if I/we have selected "Cheque" as my/our method of payment for my/our initial subscription, I/we shall ensure that there shall be sufficient funds in my/our bank account on the date of my/our cheque. If my/our cheque is not cleared for any reason, I/we agree that I/we shall be charged by Fidelity for the bank charges or other losses or expenses incurred by Fidelity.
- For a joint holders, account without a Joint Holders Special Authorisation, all account holders should co-sign this form.

Date 日期 (D日/M月/Y年): ..... / ..... / .....

Signature 簽署 :	X ..... (1st Holder 第一持有人)	X ..... (2nd Holder 第二持有人)
	X ..... (3rd Holder 第三持有人)	X ..... (4th Holder 第四持有人)

- 本人/吾等已閱讀並了解不時經富達分銷的基金之最新基金說明書（如適用）（「有關基金說明書」）所載之相關基金的資料、產品資料概要、列載於富達香港網頁上的帳戶申請表格及客戶協議書內之條款與限制（包括風險披露聲明）及「與交易相關的資料披露」文件，並同意接受該等文件中所載之條款與限制，及受有關基金說明書於日後不時作出的修訂或修改所約束。本人/吾等亦已獲邀請提出問題，以及就本人/吾等可能存在的任何問題尋求獨立意見。

雖然富達可能會在純屬附帶於其基金分銷的情況下，不時向本人/吾等提供投資建議或作出招攬行為，但本人/吾等確認，就與富達分銷的任何/所有基金有關的所有交易而言，本人/吾等經考慮富達所提供的產品及服務的性質、特點和相關風險，並已於本人/吾等認為有需要的情況下諮詢個人專業顧問的意見後，將會自行作出判斷。本人/吾等進一步確認詳列於本申請表格及其後由本人/吾等就不時經富達分銷的基金而向富達作出的任何指示內的投資決定，均由本人/吾等自行判斷作出。儘管有上述規定，若富達向本人/吾等招攬銷售或建議任何金融產品，該金融產品必須是富達經考慮本人/吾等的財政狀況、投資經驗及投資目標後認為合理地適合本人/吾等的產品。申請表格的其他條文、本條款或富達可能要求本人/吾等簽署的任何其他文件及富達可能要求本人/吾等作出的任何聲明概不會減損此條款的效力。就此條款而言，「金融產品」指《證券及期貨條例》所界定的任何證券或期貨合約。

- 富達須向本人/吾等提供有關基金說明書。本人/吾等同意接獲最新有關基金說明書之電子版本。如本人/吾等不欲接獲電子有關基金說明書，本人/吾等可致電富達投資熱線索取基金說明書印刷本。
- 若本申請表內由本人/吾等所提供之資料有任何重大變動，本人/吾等有責任通知富達。另外，本人/吾等明白富達亦將會就申請表內由富達所提供之資料的任何重大變動，通知本人/吾等。
- 本人/吾等確認及承諾本人/吾等若選擇以「支票」為本人/吾等的首次投資之付款方式，本人/吾等必須確保在支票日期本人/吾等的銀行帳戶存有足夠的金額。本人/吾等的支票若因任何理由未能兌現，本人/吾等同意富達向本人/吾等收取因而產生的任何銀行收費或其他損失或開支。
- 如該聯名帳戶未有建立「聯名持有人特別授權」，所有帳戶持有人必須簽署此表格。

## 6. Payment Method (Initial Subscription) 付款方式（首次認購）

- |   |  |
|---|--|
| <ul style="list-style-type: none"> <li>All payment should be made payable to "FIL Investment Management (Hong Kong) Limited". Please do not deposit directly into Fidelity's account. No Cash or third party payment will be accepted. Remittance from prohibited countries will not be processed. Please contact us for further information.</li> <li>Subscription monies (including initial payment) can be made by other payment methods. Please contact Fidelity Investor Hotline for details.</li> <li>Dealing instructions received with cleared monies by 5:00pm (Hong Kong time) on any valuation day will normally be executed on the same day provided all other conditions specified by Fidelity are satisfied. Instructions received after such cut off time will be executed on the following valuation day.<br/>Exceptions: the dealing cut off time of the funds offered by First State and BlackRock is 2:00pm (Hong Kong time).</li> <li>The investment will be net of all additional bank charges or collection charges that may occur.</li> <li>Fidelity reserves the right to defer the dealing process until receipt of cleared monies and to invest the amount net of all additional bank or collection charges that may occur, if applicable.</li> </ul> | <ul style="list-style-type: none"> <li>所有付款應以「FIL Investment Management (Hong Kong) Limited」為收款人。請勿直接存入富達的銀行帳戶。現金及第三者代付均不會被接納。由受禁制國家匯入的匯款將不會被處理。如需進一步資料，請與我們聯絡。</li> <li>認購款項（包括首次付款）可以從其他付款方式繳付，請致電富達投資熱線了解詳情。</li> <li>一般而言，交易截止時間為下午五時正（香港時間）；所有於估值日的截止時間前所收到的交易指示，在符合富達所有其他指定之條件下會於當天辦理，於截止時間後收到的指示將順延至下一個估值日執行。<br/>例外：投資於首域及貝萊德的基金產品，交易截止時間為下午二時正（香港時間）。</li> <li>投資將會扣除所有銀行可能會收取的費用或託收手續費。</li> <li>富達保留權利，將您的交易延至接獲兌現之付款後才辦理，並已扣除可能會收取的費用或銀行扣除手續費後之淨額進行投資（如適用）。</li> </ul> |
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## 6. Payment Method (Initial Subscription) (Cont.) 付款方式 (首次認購) (續)

In Person 親身申請	Personal Cheque(s) 私人支票			
	Telegraphic Transfer <sup>1</sup> 電匯 <sup>1</sup> Please bring a copy of telegraphic transfer remittance instructions. 請帶同電匯指示副本並參照以下付款方式。			
	Currency 貨幣	Bank Name 銀行	Account No 帳戶號碼	SWIFT Code SWIFT 代碼
	HKD 港元	The Hongkong & Shanghai Banking Corporation: Hong Kong 香港上海滙豐銀行：香港	848-750881-001	HSBCHKHHHKH

<sup>1</sup> Telegraphic transfer payment should be made direct to the relevant Bank and Account number listed above. All transfers must include the following information (1) Your Fidelity account name; (2) Your ID/passport number; & (3) Fidelity's bank account details for HK Dollars (see above).  
電匯款項應直接付予上列之銀行及帳戶號碼。所有轉帳必須註明以下資料：(1) 您的富達帳戶名稱；(2) 您的身份證/護照號碼；及 (3) 富達基金的港元銀行帳戶資料 (見上表)。

By Post 郵寄申請	Personal cheque(s) with a minimum of HK\$10,000 or equivalent drawn on a Hong Kong licensed bank account under the name of the account holder(s). For joint holders account(s) application, we accept either a cheque made from an account of all the joint holder applicants and co-signed by all applicants, or separate cheques submitted by each applicant totalling to the investment sum (minimum HK\$10,000 or equivalent per cheque). <b>The signature(s) on the cheque(s) must be the same as this Application Form. The new account will not be activated until the cheque is cleared by Fidelity.</b>			
	私人支票的最低金額為10,000港元或等值。開票帳戶必須為以帳戶持有人在香港持牌銀行開設的銀行帳戶。若開立聯名帳戶，所有帳戶持有人均須於聯名支票上簽署，或每位帳戶持有人需分別提供已簽署的個人支票（每張支票最低金額為10,000港元或等值）。支票上的簽名必須與本申請表上的相符。直至富達收受款項之前，新帳戶將未能運作。			

## 7. Fees & Charges 服務收費

Standard Account 一般基金帳戶					
Lump Sum Transaction 整筆投資交易					
Total investment balance at account opening (USD)* 開戶時的投資總額 (美金) *	Individual transaction sales charge 每宗交易認購費			Individual transaction switching fee 每宗交易轉換費	
	Cash funds 現金基金	Bond funds 債券基金	Equity and other funds 股票及其他基金	Cash funds 現金基金	Bond, equity and other funds 債券、股票及其他基金
\$1,000,000 or above 或以上	0%	0.30%	0.60%	0%	0.10%
\$500,000 - below \$1,000,000 以下	0%	0.45%	0.90%	0%	0.15%
\$250,000 - below \$500,000 以下	0%	0.60%	1.20%	0%	0.20%
\$100,000 - below \$250,000 以下	0%	0.75%	1.50%	0%	0.25%
\$50,000 - below \$100,000 以下	0%	1.05%	2.10%	0%	0.35%
Below \$50,000 以下	0%	1.50%	3.00%	0%	0.50%

\*The charge rate table above includes only sales charge and switching fee for investment in Fidelity Funds class A shares and third party funds distributed by Fidelity. For other fees & charges, please refer to the relevant offering documents. The table and related terms apply to accounts opened after 1 July 2015. For accounts opened on or prior to 1 July 2015, different fees & charges may apply.

The charge rate applicable to your Fidelity Personal Investing Account is set based on your total investment balance with us (generally excluding Fidelity SmartFund Accounts, MPF or pension scheme contribution invested) when you opened your account. Although the applicable charge rate would not adjust automatically as your total investment balance changes over time, we reserve the right to review and adjust the rate if the minimum investment balance for your tier rate is not maintained (irrespective of whether such changes is a result of market movements or redemptions) with prior notice. If you maintain a higher investment balance and would like to enjoy a more preferential rate, please contact us for an account review of your tier rate. Please call the Fidelity Investor Hotline on (852) 2629 2629 if you have any questions.

\*以上收費率表只包括富達基金A類別股份及富達分銷的第三者基金的認購及轉換費率，有關其他費用及收費，請參閱有關的基金說明書。本收費表及其有關的條款只適用於於2015年7月1日以後所開立的帳戶。2015年7月1日或以前所開立的帳戶，收費可能有別於以上收費表。

適用於您的富達個人投資帳戶的收費率是根據您在富達開立帳戶時的投資總額（通常不包括於富達SmartFund帳戶的投資、富達強積金或公積金計劃的供款總額）而設定。雖然其後您的投資總額會隨著時間有所變動，有關之收費率不會因而自動調整。但如您不能維持所屬的分層類別的最低投資額（不論變動是否由於市場走勢或贖回所致），我們保留審查及在事先通知您的情況下調整收費率的權利。若您能維持一個較高的投資額及希望享受更優惠的收費率，請聯絡我們進行複查您帳戶所屬的分層類別。若您有任何問題，請致電富達投資熱線 (852) 2629 2629查詢。

Monthly Investment Plan 每月投資計劃	
Total monthly investment amount (HK\$) 每月投資總額 (港幣\$)	Sales charge 認購費
Below \$20,000 以下	1.0%
\$20,000 or above 或以上	0.0%

Internal Use Only	
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